PE FORM PTO-1449 .

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

(REV. 7-80)

LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.:

98023/184

SERIAL NO.:

09/785,076

APPLICANT:

DICKS et al.

ART UNIT:

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FILING DATE:

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U.S. PATENT DOCUMENTS

*EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
PBT	6,009,413	Dec. 28, 1999	Webber et al.	705	26
PBT	5,983,227	Nov. 9, 1999	Nazem et al.	707 705	10 26
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FOREIGN PATENT DOCUMENTS

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DOCUMENT

COLDIED

TRANSLATION

INITIALS NUMBER DATE

COUNTRY

CLASS/SUB YES/NO

OTHER REFERENCES (INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

PBI

Bear, Stearns & Co., Inc., "Client Toolkit," 2000

Bear, Stearns & Co., Inc., "Client Toolkit, General Release," 1998, 1999

EXAMINER:

PhilipTran

DATE CONSIDERED: _

05-28-2004

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.